

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nathan, Alison J.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 08/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	NYU School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	NYU School of Law (teaching income)	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	NYU School of Law Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	UVA Law School	April 10, 2017	Charlottesville, VA	Panel Discussion	Transportation, meals
2.	Cornell Law School	April 17-18, 2018	Ithaca, NY	Q&A Discussion	Transportation, meals, lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Navient	Student Loans	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Emigrant Direct (cash)	A	Interest	J	T					
2. Bank of America (cash)		None	K	T					
3. Chase (cash)	A	Interest	J	T					
4. IRA #1 (H)									
5. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
6. AIG Focused Div Strat (FDSWX) (formerly SunAmerica Foc Div Strat)	A	Dividend	J	T					
7. ASG Managed Futures Str Fd (ASFYX)	A	Dividend			Sold	03/10/17	J		
8. AQR Long Short Equity Fd I (QLEIX)	A	Distribution	J	T	Buy	03/10/17	J		
9. Baron Real Estate Fund Class INSTL (BREIX)		None	J	T					
10. Blackrock Strategic Muni Opportunities Fund Instl (MAMTX)	A	Dividend			Sold	03/10/17	J		
11. Boston Partners Global Long Short Fund INSTL CL (BGLSX)	A	Dividend	J	T					
12. Boston Partners Long / Short Equity Fd Instl (BPLSX)		None	J	T					
13. Congress Midcap Growth Fund (IMIDX)	A	Dividend	J	T					
14. Delaware Emerging Mkts Fd I (DEMIX)	A	Dividend	J	T	Buy	04/26/17	J		
15. Fidelity Advisor Biotechnology Fund (FBTIX)		None	J	T					
16. Franklin Intl Small Cap (FKSCX)	A	Dividend	J	T					
17. FRT TRT VAL INDX (FVD)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,001 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Guggenheim S&P 500 Equal Weight Technology ETF (RYT)	A	Dividend			Sold	03/10/17	J	B	
19. Guggenheim Macro Opps Fund I (GIOIX)	A	Dividend	J	T	Buy	03/10/17	J		
20.					Sold (part)	08/21/17	J	A	
21. Ishares Edge MSCI	A	Dividend			Sold	03/10/17	J	A	
22. Ishares US Helathcare (IYH)	A	Dividend			Sold	03/10/17	J	A	
23. John Hancock Intl Growth Fund (GOGIX)	A	Dividend	J	T	Sold (part)	08/07/17	J	A	
24.					Sold (part)	08/21/17	J	A	
25. Kayne Anderson MLP (KYN)	A	Dividend	J	T					
26. Lazard Gbl Lstd Infrastructure Port I (GLIFX)	A	Dividend	J	T	Buy	03/10/17	J		
27. Loomis Sayles Sr Fl (LSFYX) (formerly Loomis Sayles Strategic (NEZYK))	A	Dividend	J	T	Sold (part)	04/26/17	J	A	
28.					Sold (part)	08/07/17	J	A	
29. Lord Abbett Short Duration Income Fd (LDLFX)	A	Dividend	J	T					
30. MFS Emerging Markets Debt Fd (MEDIX)	A	Dividend	J	T					
31. Nuveen Short Duration Credit Opportunities Fund (JSD)	A	Dividend	J	T					
32. Pimco All Asset Fund CL P (PALPX)	A	Dividend	J	T	Sold (part)	03/10/17	J	A	
33. Pimco Income Fund (PONPX)	A	Dividend	J	T	Sold (part)	03/10/17	J		
34.					Sold (part)	04/26/17	J	A	

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35. Pimco Investment Grade Corp Bd P (PBDPX)	A	Dividend	J	T	Buy	08/07/17	J		
36.					Buy (add'l)	08/21/17	J		
37. Powershares Aerospace and Defense (PPA)	A	Dividend	J	T	Buy	04/26/17	J		
38. Primecap Odyssey Growth Fund (POGRX)	A	Dividend	J	T					
39. SEI Global Managed Vol Fd Y (SGLYX)	A	Dividend	J	T	Buy	08/07/17	J		
40.					Buy (add'l)	08/21/17	J		
41. SPDR S&P 500 ETF Trust (SPY)	A	Dividend			Sold	03/10/17	J	B	
42. T Rowe Price Capital Appreciation Fd Retail (PRWCX)	A	Dividend	J	T					
43. T Rowe Price Global Tech Fd (PRGTX)		None	J	T	Buy	03/10/17	J		
44. T Rowe Price Health Sciences Ret (PRHSX)		None	J	T	Buy	03/10/17	J		
45. TCW Total Return Bond Fd (TGLMX)	A	Dividend			Sold	03/10/17	J		
46. The Oakmark Intl Fund (OAKIX)	A	Dividend	J	T	Sold (part)	08/07/17	J	A	
47.					Sold (part)	08/21/17	J		
48. Thornburg Global Opportunities Fund CL I (THOIX)	A	Dividend	J	T	Sold (part)	03/10/17	J	A	
49.					Sold (part)	08/07/17	J	A	
50. Victoryshares US 500 Vol Wtd (CFA)	A	Dividend	J	T	Buy	03/10/17	J		
51.					Buy (add'l)	08/22/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Victory Sycamore Established Value Fund (VEVYX)	A	Dividend	J	T					
53. Western Asset/Claymore Inflation Linked Opps (WIW)		None			Buy	03/10/17	J		
54.					Sold	08/22/17	J	A	
55. Brokerage Account #2 (H)									
56. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
57. AIG Focused Div Strat (FDSWX) (formerly SunAmerica Foc Div Strat)	A	Dividend	J	T					
58. AQR Long Short Equity Fd I (QLEIX)	A	Dividend	J	T	Buy	03/10/17	J		
59.					Buy (add'l)	04/26/17	J		
60. Baron Real Estate Fund (BREFX)		None	J	T					
61. Blackrock Strategic Muni Opportunities Fund Instl (MAMTX)	A	Dividend	J	T					
62. Boston Partners Global Long Short Fund INSTL CL (BGLSX)	A	Dividend	J	T					
63. Boston Partners Long / Short Equity Fd Instl (BPLSX)	A	Dividend	J	T					
64. Congress Midcap Growth Fund INSTL Class (IMIDX)	A	Dividend	J	T					
65. Delaware National High Yield Muni Bond (DVHIX)	A	Dividend	J	T					
66. Delaware Emerging Mkts Fd Instl (DEMIX)	A	Distribution	J	T	Buy	04/26/17	J		
67. Fidelity Advisor Biotech Fd I (FBTIX)	A	Dividend	J	T	Buy	04/26/17	J		
68. Franklin Intl Small Cap (FKSCX)	A	Dividend	J	T					

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69. FRT TRT Val Indx (FVD)	A	Dividend	J	T					
70. Guggenheim S&P 500 Equal Weight Technology ETF (RYT)	A	Dividend	J	T					
71. Ishares Edge MSCI (EFAV)		None			Sold	03/10/17	J	A	
72. Ishares Nasdaq Biotech (IBB)	A	Distribution			Sold	04/26/17	J	A	
73. Ishares S&P Mid-Cap 400 (IJH)	A	Distribution	J	T					
74. Ishares US Healthcare (IYH)	A	Distribution	J	T					
75. John Hancock Intl Growth Fund (GOGIX)	A	Dividend	J	T					
76. Kayne Anderson MLP (KYN)	A	Dividend	J	T					
77. Lazard Gbl Lstd Infrastructure Port I (GLIFX)		None	J	T	Buy	04/26/17	J		
78. Lord Abbett Intermediate (LISFX)	A	Dividend			Sold	03/10/17	J		
79. MFS MUNI Limited Maturity FD CL I (MTLIX)	A	Dividend			Sold	03/10/17	J		
80. Nuveen Real Asset Income Fund (NRIAX)	A	Dividend			Sold	04/26/17	J	A	
81. Nuveen Short Duration Hi Yld Muni Bd Fd (NVHIX)	A	Dividend	J	T					
82. Primecap Odyssey Growth Fund CL (POGRX)	A	Dividend	J	T					
83. Powershares Aerospace and Defense (PPA) (X)	A	Dividend	J	T					
84. SEI Global Mgd Volatility Fund Y (SGLYX)	A	Dividend	J	T	Buy	08/07/17	J		
85. T Rowe Price Capital Appreciation Fd Retail (PRWCX)	A	Dividend	J	T					

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86. The Oakmark Intl Fund (OAKIX) (Y)									
87. The Oakmark Select Fund (OAKLX)	A	Dividend	J	T					
88. Thornburg Global Opportunities Fund CL I (THOIX) (Y)									
89. Victoryshares US 500 Vol Wtd ETF (CFA)	A	Dividend			Buy	03/10/17	J		
90.					Sold	04/26/17	J	A	
91. Wells Fargo Strategic Muni Bd Fd I (STRIX)	A	Dividend	J	T	Buy	03/10/17	J		
92.					Buy (add'l)	04/26/17	J		
93. Wells Fargo Muni Bond Fd I (WMBIX)	A	Dividend	J	T	Buy	03/10/17	J		
94. Brokerage Account #3 (H)									
95. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
96. AIG Focused Div Strat (FDSWX) (formerly SunAmerica Foc Div Strat)	A	Dividend	J	T	Buy (add'l)	06/28/17	J		
97. AQR Long Short Equity Fund I (QLEIX)	A	Dividend	J	T	Buy	01/04/17	J		
98.					Buy (add'l)	04/26/17	J		
99. Baron Real Estate Fund (BREIX)	A	Dividend	J	T					
100. Blackrock Strategic Muni Opportunities Fund Instl (MAMTX)	A	Dividend	J	T	Sold (part)	03/10/17	J		
101.					Buy (add'l)	04/26/17	J		
102. Boston Partners Global Long Short Fund (BGLSX)	A	Dividend	J	T	Buy (add'l)	04/26/17	J		

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103. Boston Partners Long / Short Equity Fd Instl (BPLSX)		None	J	T					
104. Boston Partners Long Short Research Fund (BPIRX)		None			Sold	01/04/17	J	A	
105. Columbia Absolute Return (RARAX)		None			Sold	01/31/17	J	A	
106. Columbia Balanced Fund (CBLAX)		None			Sold	03/10/17	J	A	
107. Fidelity Advisor Biotechnology Fd (FBTIX)		None	J	T	Sold	03/10/17	J		
108.					Buy	04/26/17	J		
109. Guggenheim Macro Opps Fund Instl (GIOIX)	A	Dividend	J	T	Buy	03/10/17	J		
110.					Buy (add'l)	04/26/17	J		
111. Hartford Mut Fds Inc Bal Inc Fd I (HBLAX)	A	Dividend	J	T	Buy	03/10/17	J		
112.					Buy (add'l)	04/26/17	J		
113. Ishares US Consumer Goods EFT (IYK)	A	Dividend	J	T					
114. Ishares US Healthcare (IYH)	A	Dividend			Sold	03/10/17	J	A	
115. Kayne Anderson MLP (KYN)	A	Dividend	J	T					
116. Loomis Sayles Sr FI (LSFYX) (formerly Loomis Sayles Strategic (NEZYK))	A	Dividend			Sold	08/07/17	J	A	
117. Lord Abbett Short Duration Income Fd (LDLFX)	A	Dividend	J	T	Buy (add'l)	04/26/17	J		
118. MFS Diversified Income Fund (DIFIX)	A	Dividend	J	T	Sold (part)	08/21/17	J		
119. Nuveen Real Asset Income Fund (NRIIX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Nuveen Short Duration Credit Opps Fd (JSD)	A	Dividend	J	T	Buy	03/10/17	J		
121. Pimco All Asset Fund (PASAX)	A	Dividend	J	T	Buy (add'l)	04/26/17	J		
122. Pimco Foreign Bond Fd (PFBPX)	A	Dividend	J	T	Buy (add'l)	04/26/17	J		
123. Pimco Income Fund (PONPX)	A	Dividend	J	T	Buy (add'l)	04/26/17	J		
124. Pimco Investment Grade Corp Bond Fd P (PBDAX)	A	Dividend	J	T	Buy	08/07/17	J		
125. Primecap Odyssey Growth Fund (POGRX)	A	Dividend	J	T					
126. SEI Global Mgd Vol Fd Y (SVOAX) (X)	A	Dividend	J	T					
127. T. Rowe Price Capital Appreciation Fd Retail (PRWCX)	A	Dividend	J	T					
128. T Rowe Price Health Sciences Fd (PRHSX)		None	J	T	Buy	03/10/17	J		
129.					Buy (add'l)	04/26/17	J		
130. TCW Total Return Bond Fd (TGLMX)	A	Dividend	J	T	Sold (part)	03/10/17	J		
131. The Oakmark Intl Fund (OAKIX) (Y)									
132. Thornburg Global Opportunities Fund (THOAX) (Y)									
133. Western Asset Claymore Inflation Linked Opp (WIW)	A	Dividend	J	T	Buy	03/10/17	J		
134. Brokerage Account #4 (H)									
135. TD Ameritrade Cash Account	A	Interest	J	T					
136. Apple Inc Stock (AAPL)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Retirement Plan #1 (H)									
138. Vanguard Target Retirement 2040 (VFORX)	D	Dividend	N	T					
139. Vanguard Target Retirement 2020 (VTWNX)	C	Dividend	M	T					
140. Vanguard FTSE Social Index (VFTSX)	B	Dividend	M	T					
141. NY 529 (H)									
142. J.P Morgan Moderate Growth Age-Based Portfolio C		None	K	T					
143. NextGen 529 (H)									
144. Next Gen Blackrock Age 0-7 Years Portfolio C		None			Sold	07/24/17	J		
145. NextGen Blackrock 8-10 Yrs Portfolio C		None	K	T	Buy	07/24/17	J		
146. NextGen Franklin Templeton Age 0-8 Years Portfolio C		None	K	T					
147. MFS Age 6-10 Yrs Class C (X)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Alison J. Nathan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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